

ISO 20022

SSI for Securities, Payments and Foreign Exchange

## Message Definition Report - Part 2

Approved by the Securities SEG on 9th May 2016.

This document provides details of the Message Definitions for SSI for Securities, Payments and Foreign Exchange.

May 2016

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# 1 Message Set Overview

## Introduction

Messages that support the Global delivery of Standing Settlement Instructions (SSI) for Securities, Cash and Foreign Exchange.

## 1.1 List of MessageDefinitions

The following table lists all MessageDefinitions described in this book.

MessageDefinition	Definition
reda.056.001.01 StandingSettlementInstructionV01	An instructing party sends the StandingSettlementInstruction (SSI) message to the receiver to create or update a standing cash or securities settlement instruction. The message can also be used to notify a counterparty of an SSI.
reda.057.001.01 StandingSettlementInstructionDeletionV01	An instructing party sends the StandingSettlementInstructionDeletion message to the receiver to delete a previously sent StandingSettlementInstruction message. The message can also be used to notify a counterparty of the deletion of a standing settlement information.
reda.058.001.01 StandingSettlementInstructionStatusAdviceV01	The receiver of a StandingSettlementInstruction message sends the StandingSettlementInstructionStatusAdvice message to the instructing party (sender of the StandingSettlementInstruction message) to provide the status of a previously received StandingSettlementInstruction, StandingSettlementInstructionCancellation or StandingSettlementInstructionDeletion message.
reda.059.001.01 StandingSettlementInstructionCancellationV01	An instructing party sends the StandingSettlementInstructionCancellation message to the receiver to cancel a previously sent StandingSettlementInstruction message. The message can also be used to notify a counterparty of the deletion of a standing settlement information.

## 2 **reda.056.001.01** **StandingSettlementInstructionV01**

### 2.1 **MessageDefinition Functionality**

#### Scope

An instructing party sends the StandingSettlementInstruction (SSI) message to the receiver to create or update a standing cash or securities settlement instruction. The message can also be used to notify a counterparty of an SSI.

#### Usage

The instructing party (initiator) is:

- An account servicer, for example, a global custodian or prime broker
- A counterparty in a transaction, for example:
  - an investment manager (executing broker),
  - a global custodian (executing broker, prime broker)
- A vendor's application communicating on behalf of an account servicer or counterparty

The receiver is:

- An account owner, for example, an investment manager, hedge fund administrator or a party to which SSI operations have been outsourced
- A counterparty, for example:
  - an investment manager (executing broker)
  - a global custodian (executing broker, prime broker)
- A vendor's application communicating on behalf of the account owner or counterparty

#### Outline

The StandingSettlementInstructionV01 MessageDefinition is composed of 7 MessageBuildingBlocks:

A. MessageReferenceIdentification

Reference of this message.

B. EffectiveDateDetails

Date on which the SSI is effective.

C. AccountIdentification

Unique and unambiguous master identification known to the sender (or its authorised agent) and receiver (or its authorised agent), below which the SSI will be lodged. This may be an account number or reference to a fund.

If no account or reference is available then "NONREF" must be specified.

D. MarketIdentification

Identifies the market for the standing settlement instruction.

E. SettlementCurrency

Currency for which the SSI is specified.

F. SettlementDetails

Settlement chain parties, accounts and other details.

G. SupplementaryData

Additional information that can not be captured in the structured fields and/or any other specific block.

## 2.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <StgSttlmInstr>	[1..1]		C4	
	<b>MessageReferenceIdentification</b> <MsgRefId>	[1..1]	Text		8
	<b>EffectiveDateDetails</b> <FctvDtDtls>	[0..1]			8
	<b>EffectiveDate</b> <FctvDt>	[1..1]	Date		8
	<b>EffectiveDateParameter</b> <FctvDtParam>	[0..1]	CodeSet		8
	<b>AccountIdentification</b> <AcctId>	[1..*]	±		8
	<b>MarketIdentification</b> <MktId>	[1..1]			9
{Or	<b>SettlementInstructionMarketIdentification</b> <SttlmInstrMktId>	[1..1]			9
	<b>Country</b> <Ctry>	[1..1]	CodeSet	C5	9
	<b>ClassificationType</b> <ClssfctnTp>	[1..1]	±		10
	<b>SettlementPurpose</b> <SttlmPurp>	[0..1]	±		10
Or}	<b>CashSSIPurpose</b> <CshSSIPurp>	[1..*]	CodeSet		10
	<b>SettlementCurrency</b> <SttlmCcy>	[0..1]	CodeSet	C1	10
	<b>SettlementDetails</b> <SttlmDtls>	[1..1]			11
{Or	<b>SecuritiesDetails</b> <SctiesDtls>	[1..1]			11
	<b>StandingSettlementParties</b> <StgSttlmPties>	[1..1]		C8, C9, C10, C11	12
	<b>Depository</b> <Dpstry>	[1..1]	±		13
	<b>Party1</b> <Pty1>	[0..1]	±		13
	<b>Party2</b> <Pty2>	[0..1]	±		14
	<b>Party3</b> <Pty3>	[0..1]	±		14
	<b>Party4</b> <Pty4>	[0..1]	±		15
	<b>Party5</b> <Pty5>	[0..1]	±		15
	<b>LocalMarketIdentification</b> <LclMktId>	[0..*]	±		16
	<b>RegistrationDetails</b> <RegnDtls>	[0..1]	±		16
Or}	<b>CashPartiesDetails</b> <CshPtiesDtls>	[1..1]			16
	<b>Creditor</b> <Cdtr>	[1..1]	±		16
	<b>CreditorAgent</b> <CdtrAgt>	[1..1]	±		17
	<b>Intermediary</b> <Intrmy>	[0..1]	±		17
	<b>Intermediary2</b> <Intrmy2>	[0..1]	±		17

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	SupplementaryData <SplmtryData>	[0..*]	±	C12	18

## 2.3 Constraints

### C1 ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged. (Algorithm)

### C2 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered by the ISO 9362 Registration Authority in the BIC directory, and consists of eight (8) or eleven (11) contiguous characters. (Algorithm)

### C3 BICFI

Valid BICs for financial institutions are registered by the ISO 9362 Registration Authority in the BIC directory, and consist of eight (8) or eleven (11) contiguous characters. (Algorithm)

### C4 CashPartiesCurrencyPresenceRule

If SettlementDetails/CashPartiesDetails is present then SettlementCurrency must be present. (CrossElementComplexRule)

```
On Condition
  /SettlementDetails/CashPartiesDetails is present
Following Must be True
  /SettlementCurrency Must be present
```

*This constraint is defined at the MessageDefinition level.*

### C5 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code). (Algorithm)

### C6 IdentificationPresenceRule

If AnyBIC is absent or NameAndAddress is absent then ProprietaryIdentification must be present. (CrossElementComplexRule)

### C7 IdentificationPresenceRule

If BICFI is absent or NameAndAddress is absent then ProprietaryIdentification must be present. (CrossElementComplexRule)

### C8 Party2PresenceRule

If Party2 is present, then Party1 must be present. (CrossElementComplexRule)

### C9 Party3PresenceRule

If Party3 is present, then Party2 must be present. (CrossElementComplexRule)

### C10 Party4PresenceRule

If Party4 is present, then Party3 must be present. (CrossElementComplexRule)

**C11 Party5PresenceRule**

If Party5 is present, then Party4 must be present. (CrossElementComplexRule)

**C12 SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

## 2.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

### 2.4.1 MessageReferenceIdentification <MsgRefId>

*Presence:* [1..1]

*Definition:* Reference of this message.

*Datatype:* "Max35Text" on page 74

### 2.4.2 EffectiveDateDetails <FctvDtDtIs>

*Presence:* [0..1]

*Definition:* Date on which the SSI is effective.

**EffectiveDateDetails <FctvDtDtIs>** contains the following **EffectiveDate1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>EffectiveDate</b> <FctvDt>	[1..1]	Date		8
	<b>EffectiveDateParameter</b> <FctvDtParam>	[0..1]	CodeSet		8

#### 2.4.2.1 EffectiveDate <FctvDt>

*Presence:* [1..1]

*Definition:* Date on which the SSI is effective. If the SSI is effective on a future date, then the date must be provided.

*Datatype:* "ISODate" on page 72

#### 2.4.2.2 EffectiveDateParameter <FctvDtParam>

*Presence:* [0..1]

*Definition:* Specifies how the SSI update effective date is to be applied.

*Datatype:* "ExternalEffectiveDateParameter1Code" on page 70

### 2.4.3 AccountIdentification <AcctId>

*Presence:* [1..\*]

*Definition:* Unique and unambiguous master identification known to the sender (or its authorised agent) and receiver (or its authorised agent), below which the SSI will be lodged. This may be an account number or reference to a fund.

If no account or reference is available then "NONREF" must be specified.

**AccountIdentification <AcctId>** contains the following elements (see "AccountIdentification26" on page 44 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Proprietary <Prtry>	[1..1]			44
	Identification <Id>	[1..1]	Text		44

## 2.4.4 MarketIdentification <MktId>

*Presence:* [1..1]

*Definition:* Identifies the market for the standing settlement instruction.

**MarketIdentification <MktId>** contains one of the following **MarketIdentificationOrCashPurpose1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>SettlementInstructionMarketIdentification</b> <SttlmInstrMktId>	[1..1]			9
	<b>Country</b> <Ctry>	[1..1]	CodeSet	C5	9
	<b>ClassificationType</b> <ClssfctnTp>	[1..1]	±		10
	<b>SettlementPurpose</b> <SttlmPurp>	[0..1]	±		10
Or}	<b>CashSSIPurpose</b> <CshSSIPurp>	[1..*]	CodeSet		10

### 2.4.4.1 SettlementInstructionMarketIdentification <SttlmInstrMktId>

*Presence:* [1..1]

*Definition:* Identifies the market for the settlement. This consists of the country code and the asset class. For example, if the SSI is for equities in the DTCC, the country code is 'US' and the classification type is 'equity'.

**SettlementInstructionMarketIdentification <SttlmInstrMktId>** contains the following **MarketIdentification87** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Country</b> <Ctry>	[1..1]	CodeSet	C5	9
	<b>ClassificationType</b> <ClssfctnTp>	[1..1]	±		10
	<b>SettlementPurpose</b> <SttlmPurp>	[0..1]	±		10

#### 2.4.4.1.1 Country <Ctry>

*Presence:* [1..1]

*Definition:* Country in which the financial instrument is to be settled.

*Impacted by:* C5 "Country"

*Datatype:* "CountryCode" on page 69

**Constraints**

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

**2.4.4.1.2 ClassificationType <ClssfctnTp>**

*Presence:* [1..1]

*Definition:* Type of instrument covered by the SSI instruction.

**ClassificationType <ClssfctnTp>** contains one of the following elements (see "[ClassificationType1Choice](#)" on page 47 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ClassificationFinancialInstrument <ClssfctnFinInstrm>	[1..1]	IdentifierSet		47
Or}	AlternateClassification <AltrnClssfctn>	[1..1]	±		47

**2.4.4.1.3 SettlementPurpose <SttlmPurp>**

*Presence:* [0..1]

*Definition:* Purpose of the instruction, for example, whether for regular payments, margin payments related to a collateral movement, securities settlements , securities lending.

**SettlementPurpose <SttlmPurp>** contains one of the following elements (see "[Purpose3Choice](#)" on page 47 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	SecuritiesPurposeCode <SctiesPurpCd>	[1..1]	CodeSet		47
Or}	Proprietary <Prtry>	[1..1]	±		48

**2.4.4.2 CashSSIPurpose <CshSSIPurp>**

*Presence:* [1..\*]

*Definition:* Underlying reason for the payment SSI instruction, expressed as a code.

*Datatype:* "[ExternalMarketArea1Code](#)" on page 70

**2.4.5 SettlementCurrency <SttlmCcy>**

*Presence:* [0..1]

*Definition:* Currency for which the SSI is specified.

*Impacted by:* C1 "[ActiveCurrency](#)"

*Datatype:* "[ActiveCurrencyCode](#)" on page 69

**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217

Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

## 2.4.6 SettlementDetails <SttlmDtls>

*Presence:* [1..1]

*Definition:* Settlement chain parties, accounts and other details.

**SettlementDetails <SttlmDtls>** contains one of the following **SecuritiesOrCash1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>SecuritiesDetails</b> <SctiesDtls>	[1..1]			11
	<b>StandingSettlementParties</b> <StgSttlmPties>	[1..1]		C8, C9, C10, C11	12
	<b>Depository</b> <Dpstry>	[1..1]	±		13
	<b>Party1</b> <Pty1>	[0..1]	±		13
	<b>Party2</b> <Pty2>	[0..1]	±		14
	<b>Party3</b> <Pty3>	[0..1]	±		14
	<b>Party4</b> <Pty4>	[0..1]	±		15
	<b>Party5</b> <Pty5>	[0..1]	±		15
	<b>LocalMarketIdentification</b> <LclMktId>	[0..*]	±		16
	<b>RegistrationDetails</b> <RegnDtls>	[0..1]	±		16
Or}	<b>CashPartiesDetails</b> <CshPtiesDtls>	[1..1]			16
	<b>Creditor</b> <Cdtr>	[1..1]	±		16
	<b>CreditorAgent</b> <CdtrAgt>	[1..1]	±		17
	<b>Intermediary</b> <Intrmy>	[0..1]	±		17
	<b>Intermediary2</b> <Intrmy2>	[0..1]	±		17

### 2.4.6.1 SecuritiesDetails <SctiesDtls>

*Presence:* [1..1]

*Definition:* Securities settlement chain parties, accounts and other details.

**SecuritiesDetails <SciesDtIs>** contains the following **SettlementParties35** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>StandingSettlementParties &lt;StgSttlmPties&gt;</b>	[1..1]		C8, C9, C10, C11	12
	<b>Depository &lt;Dpstry&gt;</b>	[1..1]	±		13
	<b>Party1 &lt;Pty1&gt;</b>	[0..1]	±		13
	<b>Party2 &lt;Pty2&gt;</b>	[0..1]	±		14
	<b>Party3 &lt;Pty3&gt;</b>	[0..1]	±		14
	<b>Party4 &lt;Pty4&gt;</b>	[0..1]	±		15
	<b>Party5 &lt;Pty5&gt;</b>	[0..1]	±		15
	<b>LocalMarketIdentification &lt;LclMktId&gt;</b>	[0..*]	±		16
	<b>RegistrationDetails &lt;RegnDtIs&gt;</b>	[0..1]	±		16

#### 2.4.6.1.1 StandingSettlementParties <StgSttlmPties>

*Presence:* [1..1]

*Definition:* Parties through which settlement is to take place.

*Impacted by:* C8 "Party2PresenceRule", C9 "Party3PresenceRule", C10 "Party4PresenceRule", C11 "Party5PresenceRule"

**StandingSettlementParties <StgSttlmPties>** contains the following **SettlementParties32** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Depository &lt;Dpstry&gt;</b>	[1..1]	±		13
	<b>Party1 &lt;Pty1&gt;</b>	[0..1]	±		13
	<b>Party2 &lt;Pty2&gt;</b>	[0..1]	±		14
	<b>Party3 &lt;Pty3&gt;</b>	[0..1]	±		14
	<b>Party4 &lt;Pty4&gt;</b>	[0..1]	±		15
	<b>Party5 &lt;Pty5&gt;</b>	[0..1]	±		15

#### Constraints

- **Party2PresenceRule**

If Party2 is present, then Party1 must be present.

```
On Condition
  /Party2 is present
Following Must be True
  /Party1 Must be present
```

- **Party3PresenceRule**

If Party3 is present, then Party2 must be present.

On Condition  
 /Party3 is present  
 Following Must be True  
 /Party2 Must be present

- **Party4PresenceRule**

If Party4 is present, then Party3 must be present.

On Condition  
 /Party4 is present  
 Following Must be True  
 /Party3 Must be present

- **Party5PresenceRule**

If Party5 is present, then Party4 must be present.

On Condition  
 /Party5 is present  
 Following Must be True  
 /Party4 Must be present

#### 2.4.6.1.1.1 Depository <Dpstry>

*Presence:* [1..1]

*Definition:* First party in the settlement chain. In a plain vanilla settlement, it is the central securities depository where the counterparty requests to receive the financial instrument or from where the counterparty delivers the financial instruments.

**Depository <Dpstry>** contains the following elements (see "[PartyIdentification63](#)" on page 53 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PartyIdentification <PtyId>	[1..1]			53
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet		53
Or	NameAndAddress <NmAndAdr>	[1..1]	±		53
Or}	Country <Ctry>	[1..1]	CodeSet		54
	ProcessingIdentification <PrctlId>	[0..1]	Text		54

#### 2.4.6.1.1.2 Party1 <Pty1>

*Presence:* [0..1]

*Definition:* Party that, in a settlement chain, interacts with the depository. This may also be known as the "local agent", "sub-custodian", "receiving agent" or "delivering agent".

**Party1 <Pty1>** contains the following elements (see "[PartyIdentificationAndAccount95](#)" on page 50 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PartyIdentification <PtyId>	[1..1]	±		51
	AccountIdentification <AcctId>	[0..1]			51
	Identification <Id>	[1..1]	Text		51
	Type <Tp>	[0..1]	±		51
	Name <Nm>	[0..1]	Text		51
	ProcessingIdentification <PrctlId>	[0..1]	Text		52

#### 2.4.6.1.1.3 Party2 <Pty2>

*Presence:* [0..1]

*Definition:* Party that, in a settlement chain, interacts with party 1. This may also be known as the "investment manager" or "custodian".

**Party2 <Pty2>** contains the following elements (see "[PartyIdentificationAndAccount95](#)" on page 50 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PartyIdentification <PtyId>	[1..1]	±		51
	AccountIdentification <AcctId>	[0..1]			51
	Identification <Id>	[1..1]	Text		51
	Type <Tp>	[0..1]	±		51
	Name <Nm>	[0..1]	Text		51
	ProcessingIdentification <PrctlId>	[0..1]	Text		52

#### 2.4.6.1.1.4 Party3 <Pty3>

*Presence:* [0..1]

*Definition:* Party that, in a settlement chain, interacts with party 2.

**Party3 <Pty3>** contains the following elements (see "[PartyIdentificationAndAccount95](#)" on page 50 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PartyIdentification <PtyId>	[1..1]	±		51
	AccountIdentification <AcctId>	[0..1]			51
	Identification <Id>	[1..1]	Text		51
	Type <Tp>	[0..1]	±		51
	Name <Nm>	[0..1]	Text		51
	ProcessingIdentification <PrctlId>	[0..1]	Text		52

#### 2.4.6.1.1.5 Party4 <Pty4>

*Presence:* [0..1]

*Definition:* Party that, in a settlement chain, interacts with party 3.

**Party4 <Pty4>** contains the following elements (see "[PartyIdentificationAndAccount95](#)" on page 50 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PartyIdentification <PtyId>	[1..1]	±		51
	AccountIdentification <AcctId>	[0..1]			51
	Identification <Id>	[1..1]	Text		51
	Type <Tp>	[0..1]	±		51
	Name <Nm>	[0..1]	Text		51
	ProcessingIdentification <PrctlId>	[0..1]	Text		52

#### 2.4.6.1.1.6 Party5 <Pty5>

*Presence:* [0..1]

*Definition:* Party that, in a settlement chain, interacts with party 4.

**Party5 <Pty5>** contains the following elements (see "[PartyIdentificationAndAccount95](#)" on page 50 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PartyIdentification <PtyId>	[1..1]	±		51
	AccountIdentification <AcctId>	[0..1]			51
	Identification <Id>	[1..1]	Text		51
	Type <Tp>	[0..1]	±		51
	Name <Nm>	[0..1]	Text		51
	ProcessingIdentification <PrctlId>	[0..1]	Text		52

### 2.4.6.1.2 LocalMarketIdentification <LcIMktId>

*Presence:* [0..\*]

*Definition:* Identifier needed for settlement purposes. This identifier could be, for example, an identifier that identifies an institution or agent at a CDS or ICSD (Depository Trust Clearing Corporation (DTC) Institution ID or DTC Agent ID). It could also be a local tax identification number or an 'investor identification', as mandated by local market practice.

**LocalMarketIdentification <LcIMktId>** contains the following elements (see "[GenericIdentification49](#)" on page 46 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		46
	IdentificationType <IdTp>	[1..1]	Text		46

### 2.4.6.1.3 RegistrationDetails <RegnDtIs>

*Presence:* [0..1]

*Definition:* Registration information required for settlement. For some markets, for example, Spain (Iberclear) registration details are mandatory and should be part of the SSI. In some cases, the name of the institution is different than what's provided in the BIC Directory. If this is the case, the name should be provided.

**RegistrationDetails <RegnDtIs>** contains one of the following elements (see "[PartyIdentification99Choice](#)" on page 54 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NameAndAddress <NmAndAdr>	[1..1]	±		55
Or}	AnyBIC <AnyBIC>	[1..1]	±		55

### 2.4.6.2 CashPartiesDetails <CshPtiesDtIs>

*Presence:* [1..1]

*Definition:* Cash settlement chain parties and accounts.

**CashPartiesDetails <CshPtiesDtIs>** contains the following **CashParties24** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Creditor</b> <Cdtr>	[1..1]	±		16
	<b>CreditorAgent</b> <CdtrAgt>	[1..1]	±		17
	<b>Intermediary</b> <Intrmy>	[0..1]	±		17
	<b>Intermediary2</b> <Intrmy2>	[0..1]	±		17

#### 2.4.6.2.1 Creditor <Cdtr>

*Presence:* [1..1]

*Definition:* Party to which the payment amount must be ultimately delivered. In some cases, this may be a fund.

**Creditor <Cdtr>** contains the following elements (see "[PartyIdentificationAndAccount96](#)" on page 55 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PartyIdentification <PtyId>	[1..1]			55
	AnyBIC <AnyBIC>	[0..1]	IdentifierSet		56
	ProprietaryIdentification <PrtryId>	[0..1]	±		56
	NameAndAddress <NmAndAdr>	[0..1]	±		56
	AccountIdentification <AcctId>	[1..1]	±		56

#### 2.4.6.2.2 CreditorAgent <CdtrAgt>

*Presence:* [1..1]

*Definition:* Financial institution that services the cash account of the beneficiary (creditor). In some markets, this is also known as receiving agent. The creditor agent is the party where the payment amount must be ultimately delivered on behalf of the beneficiary (creditor), that is, the party where the beneficiary has its account.

**CreditorAgent <CdtrAgt>** contains the following elements (see "[PartyIdentificationAndAccount97](#)" on page 50 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PartyIdentification <PtyId>	[1..1]	±		50
	AccountIdentification <AcctId>	[0..1]	±		50

#### 2.4.6.2.3 Intermediary <Intrmy>

*Presence:* [0..1]

*Definition:* Financial institution through which the transaction must pass to reach the account with institution (creditor agent).

**Intermediary <Intrmy>** contains the following elements (see "[PartyIdentificationAndAccount97](#)" on page 50 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PartyIdentification <PtyId>	[1..1]	±		50
	AccountIdentification <AcctId>	[0..1]	±		50

#### 2.4.6.2.4 Intermediary2 <Intrmy2>

*Presence:* [0..1]

*Definition:* Financial institution through which the transaction must pass to reach the account with institution (creditor agent).

**Intermediary2 <Intrmy2>** contains the following elements (see "[PartyIdentificationAndAccount97](#)" on page 50 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PartyIdentification <PtyId>	[1..1]	±		50
	AccountIdentification <AcctId>	[0..1]	±		50

## 2.4.7 SupplementaryData <SplmtryData>

*Presence:* [0..\*]

*Definition:* Additional information that can not be captured in the structured fields and/or any other specific block.

*Impacted by:* C12 "[SupplementaryDataRule](#)"

**SupplementaryData <SplmtryData>** contains the following elements (see "[SupplementaryData1](#)" on page 48 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		48
	Envelope <Envlp>	[1..1]	(External Schema)		48

### Constraints

- **SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

## 3 **reda.057.001.01**

# **StandingSettlementInstructionDeletionV01**

### 3.1 **MessageDefinition Functionality**

#### Scope

An instructing party sends the StandingSettlementInstructionDeletion message to the receiver to delete a previously sent StandingSettlementInstruction message. The message can also be used to notify a counterparty of the deletion of a standing settlement information.

#### Usage

The instructing party (initiator) is:

- An account servicer, for example, a global custodian or prime broker
- A counterparty in a transaction, for example:
  - an investment manager (executing broker),
  - a global custodian (executing broker, prime broker)
- A vendor's application communicating on behalf of an account servicer or counterparty

The receiver is:

- An account owner, for example, an investment manager, hedge fund administrator or a party to which SSI operations have been outsourced
- A counterparty, for example:
  - an investment manager (executing broker)
  - a global custodian (executing broker, prime broker)
- A vendor's application communicating on behalf of the account owner or counterparty.

#### Outline

The StandingSettlementInstructionDeletionV01 MessageDefinition is composed of 6 MessageBuildingBlocks:

- A. MessageReferenceIdentification  
Reference of this message.
- B. EffectiveDateDetails  
Date on which the SSI is effective.
- C. AccountIdentification

Unique and unambiguous master identification known to the sender (or its authorised agent) and receiver (or its authorised agent), below which the SSI will be lodged. This may be an account number or reference to a fund.

If no account or reference is available then "NONREF" must be specified.

D. MarketIdentification

Identifies the market for the standing settlement instruction.

E. SettlementDetails

Settlement information that helps to identify the standing settlement instruction for which the deletion is sent.

F. SupplementaryData

Additional information that can not be captured in the structured fields and/or any other specific block.

## 3.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <StgSttlmInstrDeltn>	[1..1]			
	<b>MessageReferenceIdentification</b> <MsgRefId>	[1..1]	Text		21
	<b>EffectiveDateDetails</b> <FctvDtDtls>	[0..1]			21
	<b>EffectiveDate</b> <FctvDt>	[1..1]	Date		21
	<b>EffectiveDateParameter</b> <FctvDtParam>	[0..1]	CodeSet		22
	<b>AccountIdentification</b> <AcctId>	[1..*]	±		22
	<b>MarketIdentification</b> <MktId>	[1..1]			22
{Or	<b>SettlementInstructionMarketIdentification</b> <SttlmInstrMktId>	[1..1]			22
	<b>Country</b> <Ctry>	[1..1]	CodeSet	C3	23
	<b>ClassificationType</b> <ClssfctnTp>	[1..1]	±		23
	<b>SettlementPurpose</b> <SttlmPurp>	[0..1]	±		23
Or}	<b>CashSSIPurpose</b> <CshSSIPurp>	[1..*]	CodeSet		24
	<b>SettlementDetails</b> <SttlmDtls>	[1..1]			24
{Or	<b>Depository</b> <Dpstry>	[1..1]	±		24
Or}	<b>SettlementCurrency</b> <SttlmCcy>	[1..1]	CodeSet	C1	24
	<b>SupplementaryData</b> <SplmtryData>	[0..*]	±	C4	25

## 3.3 Constraints

### C1 ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged. (Algorithm)

### C2 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered by the ISO 9362 Registration Authority in the BIC directory, and consists of eight (8) or eleven (11) contiguous characters. (Algorithm)

### C3 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code). (Algorithm)

### C4 SupplementaryDataRule

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

## 3.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

### 3.4.1 MessageReferenceIdentification <MsgRefId>

*Presence:* [1..1]

*Definition:* Reference of this message.

*Datatype:* "Max35Text" on page 74

### 3.4.2 EffectiveDateDetails <FctvDtDtIs>

*Presence:* [0..1]

*Definition:* Date on which the SSI is effective.

**EffectiveDateDetails <FctvDtDtIs>** contains the following **EffectiveDate1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>EffectiveDate</b> <FctvDt>	[1..1]	Date		21
	<b>EffectiveDateParameter</b> <FctvDtParam>	[0..1]	CodeSet		22

#### 3.4.2.1 EffectiveDate <FctvDt>

*Presence:* [1..1]

*Definition:* Date on which the SSI is effective. If the SSI is effective on a future date, then the date must be provided.

*Datatype:* "ISODate" on page 72

### 3.4.2.2 EffectiveDateParameter <FctvDtParam>

*Presence:* [0..1]

*Definition:* Specifies how the SSI update effective date is to be applied.

*Datatype:* "ExternalEffectiveDateParameter1Code" on page 70

### 3.4.3 AccountIdentification <AcctId>

*Presence:* [1..\*]

*Definition:* Unique and unambiguous master identification known to the sender (or its authorised agent) and receiver (or its authorised agent), below which the SSI will be lodged. This may be an account number or reference to a fund.

If no account or reference is available then "NONREF" must be specified.

**AccountIdentification <AcctId>** contains the following elements (see "AccountIdentification26" on page 44 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Proprietary <Prtry>	[1..1]			44
	Identification <Id>	[1..1]	Text		44

### 3.4.4 MarketIdentification <MktId>

*Presence:* [1..1]

*Definition:* Identifies the market for the standing settlement instruction.

**MarketIdentification <MktId>** contains one of the following **MarketIdentificationOrCashPurpose1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>SettlementInstructionMarketIdentification</b> <SttlmInstrMktId>	[1..1]			22
	<b>Country</b> <Ctry>	[1..1]	CodeSet	C3	23
	<b>ClassificationType</b> <ClssfctnTp>	[1..1]	±		23
	<b>SettlementPurpose</b> <SttlmPurp>	[0..1]	±		23
Or}	<b>CashSSIPurpose</b> <CshSSIPurp>	[1..*]	CodeSet		24

#### 3.4.4.1 SettlementInstructionMarketIdentification <SttlmInstrMktId>

*Presence:* [1..1]

*Definition:* Identifies the market for the settlement. This consists of the country code and the asset class. For example, if the SSI is for equities in the DTCC, the country code is 'US' and the classification type is 'equity'.

**SettlementInstructionMarketIdentification <SttlmInstrMktId>** contains the following **MarketIdentification87** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Country</b> <Ctry>	[1..1]	CodeSet	C3	23
	<b>ClassificationType</b> <ClssfctnTp>	[1..1]	±		23
	<b>SettlementPurpose</b> <SttlmPurp>	[0..1]	±		23

#### 3.4.4.1.1 Country <Ctry>

*Presence:* [1..1]

*Definition:* Country in which the financial instrument is to be settled.

*Impacted by:* C3 "Country"

*Datatype:* "CountryCode" on page 69

##### Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

#### 3.4.4.1.2 ClassificationType <ClssfctnTp>

*Presence:* [1..1]

*Definition:* Type of instrument covered by the SSI instruction.

**ClassificationType <ClssfctnTp>** contains one of the following elements (see "ClassificationType1Choice" on page 47 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ClassificationFinancialInstrument <ClssfctnFinInstrm>	[1..1]	IdentifierSet		47
Or}	AlternateClassification <AltrnClssfctn>	[1..1]	±		47

#### 3.4.4.1.3 SettlementPurpose <SttlmPurp>

*Presence:* [0..1]

*Definition:* Purpose of the instruction, for example, whether for regular payments, margin payments related to a collateral movement, securities settlements, securities lending.

**SettlementPurpose <SttlmPurp>** contains one of the following elements (see "Purpose3Choice" on page 47 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	SecuritiesPurposeCode <ScitiesPurpCd>	[1..1]	CodeSet		47
Or}	Proprietary <Prtry>	[1..1]	±		48

### 3.4.4.2 CashSSIPurpose <CshSSIPurp>

*Presence:* [1..\*]

*Definition:* Underlying reason for the payment SSI instruction, expressed as a code.

*Datatype:* "ExternalMarketArea1Code" on page 70

## 3.4.5 SettlementDetails <SttlmDtls>

*Presence:* [1..1]

*Definition:* Settlement information that helps to identify the standing settlement instruction for which the deletion is sent.

**SettlementDetails <SttlmDtls>** contains one of the following **PartyOrCurrency1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Depository</b> <Dpstry>	[1..1]	±		24
Or}	<b>SettlementCurrency</b> <SttlmCcy>	[1..1]	CodeSet	C1	24

### 3.4.5.1 Depository <Dpstry>

*Presence:* [1..1]

*Definition:* First party in the settlement chain. In a plain vanilla settlement, it is the Central Securities Depository where the counterparty requests to receive the financial instrument or from where the counterparty delivers the financial instruments.

**Depository <Dpstry>** contains the following elements (see "PartyIdentification63" on page 53 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PartyIdentification <PtyId>	[1..1]			53
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet		53
Or	NameAndAddress <NmAndAdr>	[1..1]	±		53
Or}	Country <Ctry>	[1..1]	CodeSet		54
	ProcessingIdentification <PrctlId>	[0..1]	Text		54

### 3.4.5.2 SettlementCurrency <SttlmCcy>

*Presence:* [1..1]

*Definition:* Currency for the settlement.

*Impacted by:* C1 "ActiveCurrency"

*Datatype:* "ActiveCurrencyCode" on page 69

**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

### 3.4.6 SupplementaryData <SplmtryData>

*Presence:* [0..\*]

*Definition:* Additional information that can not be captured in the structured fields and/or any other specific block.

*Impacted by:* C4 "SupplementaryDataRule"

**SupplementaryData <SplmtryData>** contains the following elements (see "[SupplementaryData1](#)" on page 48 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		48
	Envelope <Envlp>	[1..1]	(External Schema)		48

**Constraints**

- **SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

# 4 **reda.058.001.01** **StandingSettlementInstructionStatusAdviceV01**

## 4.1 **MessageDefinition Functionality**

### Scope

The receiver of a StandingSettlementInstruction message sends the StandingSettlementInstructionStatusAdvice message to the instructing party (sender of the StandingSettlementInstruction message) to provide the status of a previously received StandingSettlementInstruction, StandingSettlementInstructionCancellation or StandingSettlementInstructionDeletion message.

### Usage

The StandingSettlementInstructionStatusAdvice message is used to report one of the following statuses:

- a received status, or,
- an accepted status, or,
- a rejected status, or,
- a pending processing status, or,
- a proprietary status.

### Outline

The StandingSettlementInstructionStatusAdviceV01 MessageDefinition is composed of 7 MessageBuildingBlocks:

#### A. EffectiveDateDetails

Date on which the SSI is effective.

#### B. AccountIdentification

Unique and unambiguous master identification known to the sender (or its authorised agent) and receiver (or its authorised agent), below which the SSI will be lodged. This may be an account number or reference to a fund.

If no account or reference is available then "NONREF" must be specified.

#### C. MarketIdentification

Identifies the market for the standing settlement instruction.

#### D. SettlementDetails

Settlement information that helps to identify the standing settlement instruction, cancellation or deletion for which the status is sent.

## E. RelatedMessageReference

Reference to a linked message that was previously received.

## F. ProcessingStatus

Status of the standing settlement instruction, deletion or cancellation.

## G. SupplementaryData

Additional information that can not be captured in the structured fields and/or any other specific block.

## 4.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <StgSttlmInstrStsAdv>	[1..1]			
	<b>EffectiveDateDetails</b> <FctvDtDtIs>	[0..1]			28
	<b>EffectiveDate</b> <FctvDt>	[1..1]	Date		29
	<b>EffectiveDateParameter</b> <FctvDtParam>	[0..1]	CodeSet		29
	<b>AccountIdentification</b> <AcctId>	[1..*]	±		29
	<b>MarketIdentification</b> <MktId>	[1..1]			29
{Or	<b>SettlementInstructionMarketIdentification</b> <SttlmInstrMktId>	[1..1]			30
	<b>Country</b> <Ctry>	[1..1]	CodeSet	C8	30
	<b>ClassificationType</b> <ClssfctnTp>	[1..1]	±		30
	<b>SettlementPurpose</b> <SttlmPurp>	[0..1]	±		31
Or}	<b>CashSSIPurpose</b> <CshSSIPurp>	[1..*]	CodeSet		31
	<b>SettlementDetails</b> <SttlmDtIs>	[1..1]			31
{Or	<b>Depository</b> <Dpstry>	[1..1]	±		31
Or}	<b>SettlementCurrency</b> <SttlmCcy>	[1..1]	CodeSet	C1	32
	<b>RelatedMessageReference</b> <RltdMsgRef>	[1..1]	Text		32
	<b>ProcessingStatus</b> <PrcgSts>	[1..1]			32
{Or	<b>Received</b> <Rcvd>	[1..1]	±	C2	33
Or	<b>Accepted</b> <Accptd>	[1..1]	±	C3	33
Or	<b>PendingProcessing</b> <PdgPrcg>	[1..1]	±	C4	34
Or	<b>Rejected</b> <Rjctd>	[1..1]	±	C5	34
Or}	<b>ProprietaryStatus</b> <PrtrySts>	[1..1]	±	C6	35
	<b>SupplementaryData</b> <SplmtryData>	[0..*]	±	C9	35

## 4.3 Constraints

### C1 **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged. (Algorithm)

### C2 **AdditionalReasonInformationRule**

The AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

### C3 **AdditionalReasonInformationRule**

The AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

### C4 **AdditionalReasonInformationRule**

The AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

### C5 **AdditionalReasonInformationRule**

The AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

### C6 **AdditionalReasonInformationRule**

The AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

### C7 **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered by the ISO 9362 Registration Authority in the BIC directory, and consists of eight (8) or eleven (11) contiguous characters. (Algorithm)

### C8 **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code). (Algorithm)

### C9 **SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

## 4.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

### 4.4.1 **EffectiveDateDetails <FctvDtDtIs>**

*Presence:* [0..1]

*Definition:* Date on which the SSI is effective.

**EffectiveDateDetails <FctvDtDtls>** contains the following **EffectiveDate1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>EffectiveDate</b> <FctvDt>	[1..1]	Date		29
	<b>EffectiveDateParameter</b> <FctvDtParam>	[0..1]	CodeSet		29

#### 4.4.1.1 EffectiveDate <FctvDt>

*Presence:* [1..1]

*Definition:* Date on which the SSI is effective. If the SSI is effective on a future date, then the date must be provided.

*Datatype:* "ISODate" on page 72

#### 4.4.1.2 EffectiveDateParameter <FctvDtParam>

*Presence:* [0..1]

*Definition:* Specifies how the SSI update effective date is to be applied.

*Datatype:* "ExternalEffectiveDateParameter1Code" on page 70

### 4.4.2 AccountIdentification <AcctId>

*Presence:* [1..\*]

*Definition:* Unique and unambiguous master identification known to the sender (or its authorised agent) and receiver (or its authorised agent), below which the SSI will be lodged. This may be an account number or reference to a fund.

If no account or reference is available then "NONREF" must be specified.

**AccountIdentification <AcctId>** contains the following elements (see "AccountIdentification26" on page 44 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Proprietary <Prtry>	[1..1]			44
	Identification <Id>	[1..1]	Text		44

### 4.4.3 MarketIdentification <MktId>

*Presence:* [1..1]

*Definition:* Identifies the market for the standing settlement instruction.

**MarketIdentification <MktId>** contains one of the following **MarketIdentificationOrCashPurpose1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>SettlementInstructionMarketIdentification</b> <SttlmInstrMktId>	[1..1]			30
	<b>Country</b> <Ctry>	[1..1]	CodeSet	C8	30
	<b>ClassificationType</b> <ClssfctnTp>	[1..1]	±		30
	<b>SettlementPurpose</b> <SttlmPurp>	[0..1]	±		31
Or}	<b>CashSSIPurpose</b> <CshSSIPurp>	[1..*]	CodeSet		31

#### 4.4.3.1 SettlementInstructionMarketIdentification <SttlmInstrMktId>

*Presence:* [1..1]

*Definition:* Identifies the market for the settlement. This consists of the country code and the asset class. For example, if the SSI is for equities in the DTCC, the country code is 'US' and the classification type is 'equity'.

**SettlementInstructionMarketIdentification <SttlmInstrMktId>** contains the following **MarketIdentification87** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Country</b> <Ctry>	[1..1]	CodeSet	C8	30
	<b>ClassificationType</b> <ClssfctnTp>	[1..1]	±		30
	<b>SettlementPurpose</b> <SttlmPurp>	[0..1]	±		31

##### 4.4.3.1.1 Country <Ctry>

*Presence:* [1..1]

*Definition:* Country in which the financial instrument is to be settled.

*Impacted by:* C8 "Country"

*Datatype:* "CountryCode" on page 69

##### Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

##### 4.4.3.1.2 ClassificationType <ClssfctnTp>

*Presence:* [1..1]

*Definition:* Type of instrument covered by the SSI instruction.

**ClassificationType <ClssfctnTp>** contains one of the following elements (see "[ClassificationType1Choice](#)" on page 47 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ClassificationFinancialInstrument <ClssfctnFinInstrm>	[1..1]	IdentifierSet		47
Or}	AlternateClassification <AltrnClssfctn>	[1..1]	±		47

#### 4.4.3.1.3 SettlementPurpose <SttlmPurp>

*Presence:* [0..1]

*Definition:* Purpose of the instruction, for example, whether for regular payments, margin payments related to a collateral movement, securities settlements, securities lending.

**SettlementPurpose <SttlmPurp>** contains one of the following elements (see "[Purpose3Choice](#)" on page 47 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	SecuritiesPurposeCode <SctiesPurpCd>	[1..1]	CodeSet		47
Or}	Proprietary <Prtry>	[1..1]	±		48

#### 4.4.3.2 CashSSIPurpose <CshSSIPurp>

*Presence:* [1..\*]

*Definition:* Underlying reason for the payment SSI instruction, expressed as a code.

*Datatype:* "[ExternalMarketArea1Code](#)" on page 70

### 4.4.4 SettlementDetails <SttlmDtls>

*Presence:* [1..1]

*Definition:* Settlement information that helps to identify the standing settlement instruction, cancellation or deletion for which the status is sent.

**SettlementDetails <SttlmDtls>** contains one of the following **PartyOrCurrency1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Depository</b> <Dpstry>	[1..1]	±		31
Or}	<b>SettlementCurrency</b> <SttlmCcy>	[1..1]	CodeSet	C1	32

#### 4.4.4.1 Depository <Dpstry>

*Presence:* [1..1]

*Definition:* First party in the settlement chain. In a plain vanilla settlement, it is the Central Securities Depository where the counterparty requests to receive the financial instrument or from where the counterparty delivers the financial instruments.

**Depository <Dpstry>** contains the following elements (see "PartyIdentification63" on page 53 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PartyIdentification <PtyId>	[1..1]			53
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet		53
Or	NameAndAddress <NmAndAdr>	[1..1]	±		53
Or}	Country <Ctry>	[1..1]	CodeSet		54
	ProcessingIdentification <PrcgId>	[0..1]	Text		54

#### 4.4.4.2 SettlementCurrency <SttlmCcy>

*Presence:* [1..1]

*Definition:* Currency for the settlement.

*Impacted by:* C1 "ActiveCurrency"

*Datatype:* "ActiveCurrencyCode" on page 69

##### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

#### 4.4.5 RelatedMessageReference <RltdMsgRef>

*Presence:* [1..1]

*Definition:* Reference to a linked message that was previously received.

*Datatype:* "Max35Text" on page 74

#### 4.4.6 ProcessingStatus <PrcgSts>

*Presence:* [1..1]

*Definition:* Status of the standing settlement instruction, deletion or cancellation.

**ProcessingStatus <PrcgSts>** contains one of the following **ProcessingStatus43Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Received</b> <Rcvd>	[1..1]	±	C2	33
Or	<b>Accepted</b> <Accptd>	[1..1]	±	C3	33
Or	<b>PendingProcessing</b> <PdgPrcg>	[1..1]	±	C4	34
Or	<b>Rejected</b> <Rjctd>	[1..1]	±	C5	34
Or}	<b>ProprietaryStatus</b> <PrtrySts>	[1..1]	±	C6	35

#### 4.4.6.1 Received <Rcvd>

*Presence:* [1..1]

*Definition:* Status of the standing settlement instruction, cancellation or deletion is received for further processing.

*Impacted by:* C2 "AdditionalReasonInformationRule"

**Received <Rcvd>** contains the following elements (see "ReceivedStatusReason1" on page 68 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reason <Rsn>	[1..1]	±		68
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		68

#### Constraints

- **AdditionalReasonInformationRule**

The AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

#### 4.4.6.2 Accepted <Acctpd>

*Presence:* [1..1]

*Definition:* Status of the standing settlement instruction, cancellation or deletion is

acknowledged/accepted for further processing. The instruction has been received, is processable and has been validated for further processing.

*Impacted by:* C3 "AdditionalReasonInformationRule"

**Accepted <Acctpd>** contains the following elements (see "AcceptedStatusReason7" on page 66 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reason <Rsn>	[1..1]			67
{Or	NoSpecifiedReason <NoSpofdRsn>	[1..1]	CodeSet		67
Or}	Reason <Rsn>	[1..1]			67
{Or	Code <Cd>	[1..1]	CodeSet		67
Or}	Proprietary <Prtry>	[1..1]	±		67
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		68

**Constraints**

- **AdditionalReasonInformationRule**

The AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

**4.4.6.3 PendingProcessing <PdgPrcg>**

*Presence:* [1..1]

*Definition:* Status of the standing settlement instruction, cancellation or deletion is pending.

*Impacted by:* C4 "AdditionalReasonInformationRule"

**PendingProcessing <PdgPrcg>** contains the following elements (see "PendingProcessingStatusReason1" on page 64 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reason <Rsn>	[1..1]			65
{Or	NoSpecifiedReason <NoSpdfdRsn>	[1..1]	CodeSet		65
Or}	Reason <Rsn>	[1..*]			65
{Or	Code <Cd>	[1..1]	CodeSet		66
Or}	Proprietary <Prtry>	[1..1]	±		66
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		66

**Constraints**

- **AdditionalReasonInformationRule**

The AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

**4.4.6.4 Rejected <Rjctd>**

*Presence:* [1..1]

*Definition:* Status of the standing settlement instruction, cancellation or deletion is rejected.

*Impacted by:* C5 "AdditionalReasonInformationRule"

**Rejected <Rjctd>** contains the following elements (see "[RejectedStatusReason12](#)" on page 63 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reason <Rsn>	[1..1]			63
{Or	NoSpecifiedReason <NoSpofdRsn>	[1..1]	CodeSet		64
Or}	Reason <Rsn>	[1..*]			64
{Or	Code <Cd>	[1..1]	CodeSet		64
Or}	Proprietary <Prtry>	[1..1]	±		64
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		64

#### Constraints

- **AdditionalReasonInformationRule**

The AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

#### 4.4.6.5 ProprietaryStatus <PrtrySts>

*Presence:* [1..1]

*Definition:* Status of the standing settlement instruction, cancellation or deletion is expressed in a bilaterally agreed manner.

*Impacted by:* C6 "AdditionalReasonInformationRule"

**ProprietaryStatus <PrtrySts>** contains the following elements (see "[ProprietaryStatusAndReason5](#)" on page 61 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Status <Sts>	[1..1]	±		62
	Reason <Rsn>	[1..1]			62
{Or	NoSpecifiedReason <NoSpofdRsn>	[1..1]	CodeSet		62
Or}	Reason <Rsn>	[1..*]	±		62
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		63

#### Constraints

- **AdditionalReasonInformationRule**

The AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

#### 4.4.7 SupplementaryData <SplmtryData>

*Presence:* [0..\*]

*Definition:* Additional information that can not be captured in the structured fields and/or any other specific block.

*Impacted by:* C9 "SupplementaryDataRule"

**SupplementaryData <SplmtryData>** contains the following elements (see "SupplementaryData1" on page 48 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		48
	Envelope <Envlp>	[1..1]	(External Schema)		48

**Constraints**

- **SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

# 5 **reda.059.001.01**

## **StandingSettlementInstructionCancellationV01**

### 5.1 **MessageDefinition Functionality**

#### Scope

An instructing party sends the StandingSettlementInstructionCancellation message to the receiver to cancel a previously sent StandingSettlementInstruction message. The message can also be used to notify a counterparty of the deletion of a standing settlement information.

#### Usage

The instructing party (initiator) is:

- An account servicer, for example, a global custodian or prime broker
- A counterparty in a transaction, for example:
  - an investment manager (executing broker),
  - a global custodian (executing broker, prime broker)
- A vendor's application communicating on behalf of an account servicer or counterparty

The receiver is:

- An account owner, for example, an investment manager, hedge fund administrator or a party to which SSI operations have been outsourced
- A counterparty, for example:
  - an investment manager (executing broker)
  - a global custodian (executing broker, prime broker)
- A vendor's application communicating on behalf of the account owner or counterparty.

#### Outline

The StandingSettlementInstructionCancellationV01 MessageDefinition is composed of 7 MessageBuildingBlocks:

- A. MessageReferenceIdentification  
Reference of this message.
- B. EffectiveDateDetails  
Date on which the SSI is effective.
- C. AccountIdentification

Unique and unambiguous master identification known to the sender (or its authorised agent) and receiver (or its authorised agent), below which the SSI will be lodged. This may be an account number or reference to a fund.

If no account or reference is available then "NONREF" must be specified.

D. MarketIdentification

Identifies the market for the standing settlement instruction.

E. SettlementDetails

Settlement information that helps to identify the standing settlement instruction for which the cancellation is sent.

F. PreviousMessageReference

Reference to a linked message that was previously sent.

G. SupplementaryData

Additional information that can not be captured in the structured fields and/or any other specific block.

## 5.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <StgSttlmInstrCxl>	[1..1]			
	<b>MessageReferenceIdentification</b> <MsgRefId>	[1..1]	Text		39
	<b>EffectiveDateDetails</b> <FctvDtDtls>	[0..1]			39
	<b>EffectiveDate</b> <FctvDt>	[1..1]	Date		39
	<b>EffectiveDateParameter</b> <FctvDtParam>	[0..1]	CodeSet		40
	<b>AccountIdentification</b> <AcctId>	[1..*]	±		40
	<b>MarketIdentification</b> <MktId>	[1..1]			40
{Or	<b>SettlementInstructionMarketIdentification</b> <SttlmInstrMktId>	[1..1]			40
	<b>Country</b> <Ctry>	[1..1]	CodeSet	C3	41
	<b>ClassificationType</b> <ClssfctnTp>	[1..1]	±		41
	<b>SettlementPurpose</b> <SttlmPurp>	[0..1]	±		41
Or}	<b>CashSSIPurpose</b> <CshSSIPurp>	[1..*]	CodeSet		42
	<b>SettlementDetails</b> <SttlmDtls>	[1..1]			42
{Or	<b>Depository</b> <Dpstry>	[1..1]	±		42
Or}	<b>SettlementCurrency</b> <SttlmCcy>	[1..1]	CodeSet	C1	42
	<b>PreviousMessageReference</b> <PrvsMsgRef>	[1..1]	Text		43
	<b>SupplementaryData</b> <SplmtryData>	[0..*]	±	C4	43

## 5.3 Constraints

### C1 ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged. (Algorithm)

### C2 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered by the ISO 9362 Registration Authority in the BIC directory, and consists of eight (8) or eleven (11) contiguous characters. (Algorithm)

### C3 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code). (Algorithm)

### C4 SupplementaryDataRule

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

## 5.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

### 5.4.1 MessageReferenceIdentification <MsgRefId>

*Presence:* [1..1]

*Definition:* Reference of this message.

*Datatype:* "Max35Text" on page 74

### 5.4.2 EffectiveDateDetails <FctvDtDtIs>

*Presence:* [0..1]

*Definition:* Date on which the SSI is effective.

**EffectiveDateDetails <FctvDtDtIs>** contains the following **EffectiveDate1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>EffectiveDate</b> <FctvDt>	[1..1]	Date		39
	<b>EffectiveDateParameter</b> <FctvDtParam>	[0..1]	CodeSet		40

#### 5.4.2.1 EffectiveDate <FctvDt>

*Presence:* [1..1]

*Definition:* Date on which the SSI is effective. If the SSI is effective on a future date, then the date must be provided.

*Datatype:* "ISODate" on page 72

### 5.4.2.2 EffectiveDateParameter <FctvDtParam>

*Presence:* [0..1]

*Definition:* Specifies how the SSI update effective date is to be applied.

*Datatype:* ["ExternalEffectiveDateParameter1Code"](#) on page 70

### 5.4.3 AccountIdentification <AcctId>

*Presence:* [1..\*]

*Definition:* Unique and unambiguous master identification known to the sender (or its authorised agent) and receiver (or its authorised agent), below which the SSI will be lodged. This may be an account number or reference to a fund.

If no account or reference is available then "NONREF" must be specified.

**AccountIdentification <AcctId>** contains the following elements (see ["AccountIdentification26"](#) on page 44 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Proprietary <Prtry>	[1..1]			44
	Identification <Id>	[1..1]	Text		44

### 5.4.4 MarketIdentification <MktId>

*Presence:* [1..1]

*Definition:* Identifies the market for the standing settlement instruction.

**MarketIdentification <MktId>** contains one of the following **MarketIdentificationOrCashPurpose1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>SettlementInstructionMarketIdentification</b> <SttlmInstrMktId>	[1..1]			40
	<b>Country</b> <Ctry>	[1..1]	CodeSet	C3	41
	<b>ClassificationType</b> <ClssfctnTp>	[1..1]	±		41
	<b>SettlementPurpose</b> <SttlmPurp>	[0..1]	±		41
Or}	<b>CashSSIPurpose</b> <CshSSIPurp>	[1..*]	CodeSet		42

#### 5.4.4.1 SettlementInstructionMarketIdentification <SttlmInstrMktId>

*Presence:* [1..1]

*Definition:* Identifies the market for the settlement. This consists of the country code and the asset class. For example, if the SSI is for equities in the DTCC, the country code is 'US' and the classification type is 'equity'.

**SettlementInstructionMarketIdentification <SttlmInstrMktId>** contains the following **MarketIdentification87** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Country</b> <Ctry>	[1..1]	CodeSet	C3	41
	<b>ClassificationType</b> <ClssfctnTp>	[1..1]	±		41
	<b>SettlementPurpose</b> <SttlmPurp>	[0..1]	±		41

#### 5.4.4.1.1 Country <Ctry>

*Presence:* [1..1]

*Definition:* Country in which the financial instrument is to be settled.

*Impacted by:* C3 "Country"

*Datatype:* "CountryCode" on page 69

#### Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

#### 5.4.4.1.2 ClassificationType <ClssfctnTp>

*Presence:* [1..1]

*Definition:* Type of instrument covered by the SSI instruction.

**ClassificationType <ClssfctnTp>** contains one of the following elements (see "ClassificationType1Choice" on page 47 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ClassificationFinancialInstrument <ClssfctnFinInstrm>	[1..1]	IdentifierSet		47
Or}	AlternateClassification <AltrnClssfctn>	[1..1]	±		47

#### 5.4.4.1.3 SettlementPurpose <SttlmPurp>

*Presence:* [0..1]

*Definition:* Purpose of the instruction, for example, whether for regular payments, margin payments related to a collateral movement, securities settlements, securities lending.

**SettlementPurpose <SttlmPurp>** contains one of the following elements (see "Purpose3Choice" on page 47 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	SecuritiesPurposeCode <ScitiesPurpCd>	[1..1]	CodeSet		47
Or}	Proprietary <Prtry>	[1..1]	±		48

#### 5.4.4.2 CashSSIPurpose <CshSSIPurp>

*Presence:* [1..\*]

*Definition:* Underlying reason for the payment SSI instruction, expressed as a code.

*Datatype:* "ExternalMarketArea1Code" on page 70

### 5.4.5 SettlementDetails <SttlmDtls>

*Presence:* [1..1]

*Definition:* Settlement information that helps to identify the standing settlement instruction for which the cancellation is sent.

**SettlementDetails <SttlmDtls>** contains one of the following **PartyOrCurrency1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Depository</b> <Dpstry>	[1..1]	±		42
Or}	<b>SettlementCurrency</b> <SttlmCcy>	[1..1]	CodeSet	C1	42

#### 5.4.5.1 Depository <Dpstry>

*Presence:* [1..1]

*Definition:* First party in the settlement chain. In a plain vanilla settlement, it is the Central Securities Depository where the counterparty requests to receive the financial instrument or from where the counterparty delivers the financial instruments.

**Depository <Dpstry>** contains the following elements (see "PartyIdentification63" on page 53 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PartyIdentification <PtyId>	[1..1]			53
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet		53
Or	NameAndAddress <NmAndAdr>	[1..1]	±		53
Or}	Country <Ctry>	[1..1]	CodeSet		54
	ProcessingIdentification <PrctlId>	[0..1]	Text		54

#### 5.4.5.2 SettlementCurrency <SttlmCcy>

*Presence:* [1..1]

*Definition:* Currency for the settlement.

*Impacted by:* C1 "ActiveCurrency"

*Datatype:* "ActiveCurrencyCode" on page 69

**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

## 5.4.6 PreviousMessageReference <PrvsMsgRef>

*Presence:* [1..1]

*Definition:* Reference to a linked message that was previously sent.

*Datatype:* "Max35Text" on page 74

## 5.4.7 SupplementaryData <SplmtryData>

*Presence:* [0..\*]

*Definition:* Additional information that can not be captured in the structured fields and/or any other specific block.

*Impacted by:* C4 "SupplementaryDataRule"

**SupplementaryData <SplmtryData>** contains the following elements (see "SupplementaryData1" on page 48 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		48
	Envelope <Envlp>	[1..1]	(External Schema)		48

**Constraints**

- **SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

## 6 Message Items Types

### 6.1 MessageComponents

#### 6.1.1 Account Identification

##### 6.1.1.1 AccountIdentification26

*Definition:* Unique identifier of an account, as assigned by the account servicer.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Proprietary <Prtry>	[1..1]			44
	Identification <Id>	[1..1]	Text		44

##### 6.1.1.1.1 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Unique identifier for an account. It is assigned by the account servicer using a proprietary identification scheme.

**Proprietary <Prtry>** contains the following **SimpleIdentificationInformation4** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		44

##### 6.1.1.1.1.1 Identification <Id>

*Presence:* [1..1]

*Definition:* Name or number assigned by an entity to enable recognition of that entity, eg, account identifier.

*Datatype:* "Max35Text" on page 74

### 6.1.2 Identification Information

#### 6.1.2.1 GenericIdentification1

*Definition:* Information related to an identification, eg, party identification or account identification.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		45
	SchemeName <SchmeNm>	[0..1]	Text		45
	Issuer <Issr>	[0..1]	Text		45

### 6.1.2.1.1 Identification <Id>

*Presence:* [1..1]

*Definition:* Identification assigned by an institution.

*Datatype:* "Max35Text" on page 74

### 6.1.2.1.2 SchemeName <SchmeNm>

*Presence:* [0..1]

*Definition:* Name of the identification scheme.

*Datatype:* "Max35Text" on page 74

### 6.1.2.1.3 Issuer <Issr>

*Presence:* [0..1]

*Definition:* Entity that assigns the identification.

*Datatype:* "Max35Text" on page 74

## 6.1.2.2 GenericIdentification30

*Definition:* Information related to an identification, for example, party identification or account identification.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		45
	Issuer <Issr>	[1..1]	Text		45
	SchemeName <SchmeNm>	[0..1]	Text		45

### 6.1.2.2.1 Identification <Id>

*Presence:* [1..1]

*Definition:* Proprietary information, often a code, issued by the data source scheme issuer.

*Datatype:* "Exact4AlphaNumericText" on page 73

### 6.1.2.2.2 Issuer <Issr>

*Presence:* [1..1]

*Definition:* Entity that assigns the identification.

*Datatype:* "Max35Text" on page 74

### 6.1.2.2.3 SchemeName <SchmeNm>

*Presence:* [0..1]

*Definition:* Short textual description of the scheme.

*Datatype:* "Max35Text" on page 74

### 6.1.2.3 GenericIdentification49

*Definition:* Information related to an identification, for example, party identification or account identification.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		46
	IdentificationType <IdTp>	[1..1]	Text		46

#### 6.1.2.3.1 Identification <Id>

*Presence:* [1..1]

*Definition:* Identifier issued to a person or an institution for which no other specific identifier has been defined.

*Datatype:* "Max35Text" on page 74

#### 6.1.2.3.2 IdentificationType <IdTp>

*Presence:* [1..1]

*Definition:* Type of identifier.

*Datatype:* "Max35Text" on page 74

### 6.1.2.4 GenericIdentification36

*Definition:* Identification using a proprietary scheme.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		46
	Issuer <Issr>	[1..1]	Text		46
	SchemeName <SchmeNm>	[0..1]	Text		46

#### 6.1.2.4.1 Identification <Id>

*Presence:* [1..1]

*Definition:* Proprietary information, often a code, issued by the data source scheme issuer.

*Datatype:* "Max35Text" on page 74

#### 6.1.2.4.2 Issuer <Issr>

*Presence:* [1..1]

*Definition:* Entity that assigns the identification.

*Datatype:* "Max35Text" on page 74

#### 6.1.2.4.3 SchemeName <SchmeNm>

*Presence:* [0..1]

*Definition:* Short textual description of the scheme.

Datatype: ["Max35Text"](#) on page 74

## 6.1.3 Miscellaneous

### 6.1.3.1 ClassificationType1Choice

*Definition:* Choice of format for the classification.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ClassificationFinancialInstrument <ClssfctnFinInstrm>	[1..1]	IdentifierSet		47
Or}	AlternateClassification <AltrnClssfctn>	[1..1]	±		47

#### 6.1.3.1.1 ClassificationFinancialInstrument <ClssfctnFinInstrm>

*Presence:* [1..1]

*Definition:* ISO 10962 Classification of Financial Instrument (CFI)

Datatype: ["CFIOct2015Identifier"](#) on page 73

#### 6.1.3.1.2 AlternateClassification <AltrnClssfctn>

*Presence:* [1..1]

*Definition:* Proprietary classification of financial instrument.

**AlternateClassification <AltrnClssfctn>** contains the following elements (see ["GenericIdentification1"](#) on page 44 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		45
	SchemeName <SchmeNm>	[0..1]	Text		45
	Issuer <Issr>	[0..1]	Text		45

### 6.1.3.2 Purpose3Choice

*Definition:* Choice of formats for a settlement purpose.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	SecuritiesPurposeCode <SctiesPurpCd>	[1..1]	CodeSet		47
Or}	Proprietary <Prtry>	[1..1]	±		48

#### 6.1.3.2.1 SecuritiesPurposeCode <SctiesPurpCd>

*Presence:* [1..1]

*Definition:* Underlying reason for the SSI instruction, expressed as a code.

Datatype: ["ExternalSecuritiesPurpose1Code"](#) on page 71

### 6.1.3.2.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Underlying reason for the SSI instruction, expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification1](#)" on page 44 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		45
	SchemeName <SchmeNm>	[0..1]	Text		45
	Issuer <Issr>	[0..1]	Text		45

### 6.1.3.3 SupplementaryData1

*Definition:* Additional information that can not be captured in the structured fields and/or any other specific block.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		48
	Envelope <Envlp>	[1..1]	(External Schema)		48

#### Constraints

- **SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

#### 6.1.3.3.1 PlaceAndName <PlcAndNm>

*Presence:* [0..1]

*Definition:* Unambiguous reference to the location where the supplementary data must be inserted in the message instance.

In the case of XML, this is expressed by a valid XPath.

*Datatype:* "[Max350Text](#)" on page 74

#### 6.1.3.3.2 Envelope <Envlp>

*Presence:* [1..1]

*Definition:* Technical element wrapping the supplementary data.

*Type:* (External Schema)

Technical component that contains the validated supplementary data information. This technical envelope allows to segregate the supplementary data information from any other information.

## 6.1.4 Party Identification

### 6.1.4.1 PartyIdentification71Choice

*Definition:* Choice between different formats for the identification of a party.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet		49
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		49
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		49

#### 6.1.4.1.1 AnyBIC <AnyBIC>

*Presence:* [1..1]

*Definition:* Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362 "Banking - Banking telecommunication messages - Business identifier code (BIC)".

*Datatype:* ["AnyBICIdentifier"](#) on page 72

#### 6.1.4.1.2 ProprietaryIdentification <PrtryId>

*Presence:* [1..1]

*Definition:* Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.

**ProprietaryIdentification <PrtryId>** contains the following elements (see ["GenericIdentification36"](#) on page 46 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		46
	Issuer <Issr>	[1..1]	Text		46
	SchemeName <SchmeNm>	[0..1]	Text		46

#### 6.1.4.1.3 NameAndAddress <NmAndAdr>

*Presence:* [1..1]

*Definition:* Name and address of a party.

**NameAndAddress <NmAndAdr>** contains the following elements (see ["NameAndAddress5"](#) on page 57 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		57
	Address <Adr>	[0..1]	±		57

### 6.1.4.2 PartyIdentificationAndAccount97

*Definition:* Party and account information.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PartyIdentification <PtyId>	[1..1]	±		50
	AccountIdentification <AcctId>	[0..1]	±		50

#### 6.1.4.2.1 PartyIdentification <PtyId>

*Presence:* [1..1]

*Definition:* Identification of the party that legally owns the account.

**PartyIdentification <PtyId>** contains the following elements (see "[PartyIdentification62](#)" on page 52 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	BICFI <BICFI>	[0..1]	IdentifierSet		52
	ProprietaryIdentification <PrtryId>	[0..1]	±		52
	NameAndAddress <NmAndAdr>	[0..1]	±		53

#### 6.1.4.2.2 AccountIdentification <AcctId>

*Presence:* [0..1]

*Definition:* Identification of the account.

**AccountIdentification <AcctId>** contains the following elements (see "[AccountIdentification26](#)" on page 44 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Proprietary <Prtry>	[1..1]			44
	Identification <Id>	[1..1]	Text		44

### 6.1.4.3 PartyIdentificationAndAccount95

*Definition:* Party and account details.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PartyIdentification <PtyId>	[1..1]	±		51
	AccountIdentification <AcctId>	[0..1]			51
	Identification <Id>	[1..1]	Text		51
	Type <Tp>	[0..1]	±		51
	Name <Nm>	[0..1]	Text		51
	ProcessingIdentification <PrctlId>	[0..1]	Text		52

### 6.1.4.3.1 PartyIdentification <Ptyld>

*Presence:* [1..1]

*Definition:* Identification of the party.

**PartyIdentification <Ptyld>** contains one of the following elements (see "[PartyIdentification71Choice](#)" on page 49 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet		49
Or	ProprietaryIdentification <Prtryld>	[1..1]	±		49
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		49

### 6.1.4.3.2 AccountIdentification <Acctld>

*Presence:* [0..1]

*Definition:* Account to or from which a securities entry is made.

**AccountIdentification <Acctld>** contains the following **SecuritiesAccount22** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		51
	Type <Tp>	[0..1]	±		51
	Name <Nm>	[0..1]	Text		51

#### 6.1.4.3.2.1 Identification <Id>

*Presence:* [1..1]

*Definition:* Unambiguous identification for the account between the account owner and the account servicer.

*Datatype:* "[Max35Text](#)" on page 74

#### 6.1.4.3.2.2 Type <Tp>

*Presence:* [0..1]

*Definition:* Type of securities account.

**Type <Tp>** contains the following elements (see "[GenericIdentification30](#)" on page 45 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		45
	Issuer <Issr>	[1..1]	Text		45
	SchemeName <SchmeNm>	[0..1]	Text		45

#### 6.1.4.3.2.3 Name <Nm>

*Presence:* [0..1]

*Definition:* Description of the account.

Datatype: "Max70Text" on page 74

### 6.1.4.3 ProcessingIdentification <Prcgld>

Presence: [0..1]

Definition: Unambiguous identification of the transaction for the party identified.

Datatype: "Max35Text" on page 74

### 6.1.4.4 PartyIdentification62

Definition: Identification of a party.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	BICFI <BICFI>	[0..1]	IdentifierSet		52
	ProprietaryIdentification <Prtryld>	[0..1]	±		52
	NameAndAddress <NmAndAdr>	[0..1]	±		53

#### Constraints

- **IdentificationPresenceRule**

If BICFI is absent or NameAndAddress is absent then ProprietaryIdentification must be present.

```

On Condition
  /BICFI is absent
Or
  /NameAndAddress is absent
Following Must be True
  /ProprietaryIdentification Must be present
    
```

#### 6.1.4.4.1 BICFI <BICFI>

Presence: [0..1]

Definition: Identification of the financial institution expressed as a BIC.

Datatype: "BICFIIdentifier" on page 72

#### 6.1.4.4.2 ProprietaryIdentification <Prtryld>

Presence: [0..1]

Definition: Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.

**ProprietaryIdentification <Prtryld>** contains the following elements (see "[GenericIdentification1](#)" on page 44 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		45
	SchemeName <SchmeNm>	[0..1]	Text		45
	Issuer <Issr>	[0..1]	Text		45

### 6.1.4.4.3 NameAndAddress <NmAndAdr>

*Presence:* [0..1]

*Definition:* Name and address of the party.

**NameAndAddress <NmAndAdr>** contains the following elements (see "[NameAndAddress5](#)" on page 57 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		57
	Address <Adr>	[0..1]	±		57

### 6.1.4.5 PartyIdentification63

*Definition:* Identification of an entity involved in an activity.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PartyIdentification <PtyId>	[1..1]			53
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet		53
Or	NameAndAddress <NmAndAdr>	[1..1]	±		53
Or}	Country <Ctry>	[1..1]	CodeSet		54
	ProcessingIdentification <PrctlId>	[0..1]	Text		54

#### 6.1.4.5.1 PartyIdentification <PtyId>

*Presence:* [1..1]

*Definition:* Identification of the party.

**PartyIdentification <PtyId>** contains one of the following **PartyIdentification75Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet		53
Or	NameAndAddress <NmAndAdr>	[1..1]	±		53
Or}	Country <Ctry>	[1..1]	CodeSet		54

##### 6.1.4.5.1.1 AnyBIC <AnyBIC>

*Presence:* [1..1]

*Definition:* Identification of the party expressed as a BIC.

*Datatype:* "[AnyBICIdentifier](#)" on page 72

##### 6.1.4.5.1.2 NameAndAddress <NmAndAdr>

*Presence:* [1..1]

*Definition:* Name and address of a party.

**NameAndAddress <NmAndAdr>** contains the following elements (see "[NameAndAddress5](#)" on page 57 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		57
	Address <Adr>	[0..1]	±		57

#### 6.1.4.5.1.3 Country <Ctry>

*Presence:* [1..1]

*Definition:* Country of the party.

*Datatype:* "[CountryCode](#)" on page 69

#### 6.1.4.5.2 ProcessingIdentification <Prcgld>

*Presence:* [0..1]

*Definition:* Unambiguous identification of the transaction for the party identified.

*Datatype:* "[Max35Text](#)" on page 74

#### 6.1.4.6 PartyIdentification44

*Definition:* Unique and unambiguous way to identify an organisation.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AnyBIC <AnyBIC>	[1..1]	IdentifierSet		54
	AlternativIdentifier <Altrntvldr>	[0..10]	Text		54

#### 6.1.4.6.1 AnyBIC <AnyBIC>

*Presence:* [1..1]

*Definition:* Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362 "Banking - Banking telecommunication messages - Business identifier code (BIC)".

*Datatype:* "[AnyBICIdentifier](#)" on page 72

#### 6.1.4.6.2 AlternativIdentifier <Altrntvldr>

*Presence:* [0..10]

*Definition:* Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.

*Datatype:* "[Max35Text](#)" on page 74

## 6.1.5 Person Identification

### 6.1.5.1 PartyIdentification99Choice

*Definition:* Identification of a party by a choice between a BIC or a name and address.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NameAndAddress <NmAndAdr>	[1..1]	±		55
Or}	AnyBIC <AnyBIC>	[1..1]	±		55

### 6.1.5.1.1 NameAndAddress <NmAndAdr>

*Presence:* [1..1]

*Definition:* Name and address and Alternative Identifier of a party.

**NameAndAddress <NmAndAdr>** contains the following elements (see "[NameAndAddress8](#)" on [page 57](#) for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		58
	Address <Adr>	[0..1]	±		58
	AlternativeIdentifier <Altrntvldr>	[0..10]	Text		58

### 6.1.5.1.2 AnyBIC <AnyBIC>

*Presence:* [1..1]

*Definition:* Identification of a party by a BIC and an Alternative Identifier.

**AnyBIC <AnyBIC>** contains the following elements (see "[PartyIdentification44](#)" on [page 54](#) for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AnyBIC <AnyBIC>	[1..1]	IdentifierSet		54
	AlternativeIdentifier <Altrntvldr>	[0..10]	Text		54

### 6.1.5.2 PartyIdentificationAndAccount96

*Definition:* Party and account information.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PartyIdentification <Ptyld>	[1..1]			55
	AnyBIC <AnyBIC>	[0..1]	IdentifierSet		56
	ProprietaryIdentification <Prtryld>	[0..1]	±		56
	NameAndAddress <NmAndAdr>	[0..1]	±		56
	AccountIdentification <Acctld>	[1..1]	±		56

#### 6.1.5.2.1 PartyIdentification <Ptyld>

*Presence:* [1..1]

*Definition:* Identification of the party that legally owns the account.

**PartyIdentification <PtyId>** contains the following **PartyIdentification64** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AnyBIC <AnyBIC>	[0..1]	IdentifierSet		56
	ProprietaryIdentification <PrtryId>	[0..1]	±		56
	NameAndAddress <NmAndAdr>	[0..1]	±		56

#### 6.1.5.2.1.1 AnyBIC <AnyBIC>

*Presence:* [0..1]

*Definition:* Identification of the party expressed as a BIC.

*Datatype:* "AnyBICIdentifier" on page 72

#### 6.1.5.2.1.2 ProprietaryIdentification <PrtryId>

*Presence:* [0..1]

*Definition:* Unique and unambiguous identifier, as assigned to the party using a proprietary identification scheme.

**ProprietaryIdentification <PrtryId>** contains the following elements (see "[GenericIdentification1](#)" on page 44 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		45
	SchemeName <SchmeNm>	[0..1]	Text		45
	Issuer <Issr>	[0..1]	Text		45

#### 6.1.5.2.1.3 NameAndAddress <NmAndAdr>

*Presence:* [0..1]

*Definition:* Name and address of the party.

**NameAndAddress <NmAndAdr>** contains the following elements (see "[NameAndAddress5](#)" on page 57 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		57
	Address <Adr>	[0..1]	±		57

#### 6.1.5.2.2 AccountIdentification <AcctId>

*Presence:* [1..1]

*Definition:* Identification of the account.

**AccountIdentification <AcctId>** contains the following elements (see "[AccountIdentification26](#)" on page 44 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Proprietary <Prtry>	[1..1]			44
	Identification <Id>	[1..1]	Text		44

## 6.1.6 Postal Address

### 6.1.6.1 NameAndAddress5

*Definition:* Information that locates and identifies a party.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		57
	Address <Adr>	[0..1]	±		57

#### 6.1.6.1.1 Name <Nm>

*Presence:* [1..1]

*Definition:* Name by which a party is known and which is usually used to identify that party.

*Datatype:* "[Max350Text](#)" on page 74

#### 6.1.6.1.2 Address <Adr>

*Presence:* [0..1]

*Definition:* Postal address of a party.

**Address <Adr>** contains the following elements (see "[PostalAddress1](#)" on page 58 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]	CodeSet		59
	AddressLine <AdrLine>	[0..5]	Text		59
	StreetName <StrtNm>	[0..1]	Text		59
	BuildingNumber <BldgNb>	[0..1]	Text		59
	PostCode <PstCd>	[0..1]	Text		60
	TownName <TwnNm>	[0..1]	Text		60
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		60
	Country <Ctry>	[1..1]	CodeSet		60

### 6.1.6.2 NameAndAddress8

*Definition:* Information that locates and identifies a party.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		58
	Address <Adr>	[0..1]	±		58
	AlternativIdentifier <Altrntvldr>	[0..10]	Text		58

#### 6.1.6.2.1 Name <Nm>

*Presence:* [1..1]

*Definition:* Name by which a party is known and which is usually used to identify that party.

*Datatype:* "Max350Text" on page 74

#### 6.1.6.2.2 Address <Adr>

*Presence:* [0..1]

*Definition:* Postal address of a party.

**Address <Adr>** contains the following elements (see "PostalAddress1" on page 58 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]	CodeSet		59
	AddressLine <AdrLine>	[0..5]	Text		59
	StreetName <StrtNm>	[0..1]	Text		59
	BuildingNumber <BldgNb>	[0..1]	Text		59
	PostCode <PstCd>	[0..1]	Text		60
	TownName <TwnNm>	[0..1]	Text		60
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		60
	Country <Ctry>	[1..1]	CodeSet		60

#### 6.1.6.2.3 AlternativIdentifier <Altrntvldr>

*Presence:* [0..10]

*Definition:* Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.

*Datatype:* "Max35Text" on page 74

#### 6.1.6.3 PostalAddress1

*Definition:* Information that locates and identifies a specific address, as defined by postal services.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]	CodeSet		59
	AddressLine <AdrLine>	[0..5]	Text		59
	StreetName <StrtNm>	[0..1]	Text		59
	BuildingNumber <BldgNb>	[0..1]	Text		59
	PostCode <PstCd>	[0..1]	Text		60
	TownName <TwnNm>	[0..1]	Text		60
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		60
	Country <Ctry>	[1..1]	CodeSet		60

### 6.1.6.3.1 AddressType <AdrTp>

*Presence:* [0..1]

*Definition:* Identifies the nature of the postal address.

*Datatype:* ["AddressType2Code" on page 69](#)

CodeName	Name	Definition
ADDR	Postal	Address is the complete postal address.
PBOX	POBox	Address is a postal office (PO) box.
HOME	Residential	Address is the home address.
BIZZ	Business	Address is the business address.
MLTO	MailTo	Address is the address to which mail is sent.
DLVY	DeliveryTo	Address is the address to which delivery is to take place.

### 6.1.6.3.2 AddressLine <AdrLine>

*Presence:* [0..5]

*Definition:* Information that locates and identifies a specific address, as defined by postal services, that is presented in free format text.

*Datatype:* ["Max70Text" on page 74](#)

### 6.1.6.3.3 StreetName <StrtNm>

*Presence:* [0..1]

*Definition:* Name of a street or thoroughfare.

*Datatype:* ["Max70Text" on page 74](#)

### 6.1.6.3.4 BuildingNumber <BldgNb>

*Presence:* [0..1]

*Definition:* Number that identifies the position of a building on a street.

*Datatype:* "Max16Text" on page 73

### 6.1.6.3.5 PostCode <PstCd>

*Presence:* [0..1]

*Definition:* Identifier consisting of a group of letters and/or numbers that is added to a postal address to assist the sorting of mail.

*Datatype:* "Max16Text" on page 73

### 6.1.6.3.6 TownName <TwnNm>

*Presence:* [0..1]

*Definition:* Name of a built-up area, with defined boundaries, and a local government.

*Datatype:* "Max35Text" on page 74

### 6.1.6.3.7 CountrySubDivision <CtrySubDvsn>

*Presence:* [0..1]

*Definition:* Identifies a subdivision of a country eg, state, region, county.

*Datatype:* "Max35Text" on page 74

### 6.1.6.3.8 Country <Ctry>

*Presence:* [1..1]

*Definition:* Nation with its own government.

*Datatype:* "CountryCode" on page 69

## 6.1.7 Status

### 6.1.7.1 ReceivedReason1Choice

*Definition:* Choice of formats for the reason of a received status.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpcfdRsn>	[1..1]	CodeSet		60
Or}	Reason <Rsn>	[1..1]			61
{Or	Code <Cd>	[1..1]	CodeSet		61
Or}	Proprietary <Prtry>	[1..1]	±		61

#### 6.1.7.1.1 NoSpecifiedReason <NoSpcfdRsn>

*Presence:* [1..1]

*Definition:* Indicates that there is no reason available or to report.

*Datatype:* "NoReasonCode" on page 72

CodeName	Name	Definition
NORE	NoReason	No reason to report or no reason available to report.

### 6.1.7.1.2 Reason <Rsn>

*Presence:* [1..1]

*Definition:* Reason for the received status.

**Reason <Rsn>** contains one of the following **ReceivedReason2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		61
Or}	Proprietary <Prtry>	[1..1]	±		61

#### 6.1.7.1.2.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Reason for the received status expressed as an ISO 20022 code.

*Datatype:* "ExternalReceivedReason1Code" on page 71

#### 6.1.7.1.2.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Reason for the received status expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "GenericIdentification36" on page 46 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		46
	Issuer <Issr>	[1..1]	Text		46
	SchemeName <SchmeNm>	[0..1]	Text		46

### 6.1.7.2 ProprietaryStatusAndReason5

*Definition:* Proprietary status and reason of an instruction or an instruction cancellation.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Status <Sts>	[1..1]	±		62
	Reason <Rsn>	[1..1]			62
{Or	NoSpecifiedReason <NoSpdfdRsn>	[1..1]	CodeSet		62
Or}	Reason <Rsn>	[1..*]	±		62
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		63

**Constraints**

- **AdditionalReasonInformationRule**

The AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

**6.1.7.2.1 Status <Sts>**

*Presence:* [1..1]

*Definition:* Proprietary identification of the status of the instruction.

**Status <Sts>** contains the following elements (see "[GenericIdentification36](#)" on page 46 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		46
	Issuer <Issr>	[1..1]	Text		46
	SchemeName <SchmeNm>	[0..1]	Text		46

**6.1.7.2.2 Reason <Rsn>**

*Presence:* [1..1]

*Definition:* Proprietary identification of the reason for the status.

**Reason <Rsn>** contains one of the following **ProprietaryReason1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpdfdRsn>	[1..1]	CodeSet		62
Or}	Reason <Rsn>	[1..*]	±		62

**6.1.7.2.2.1 NoSpecifiedReason <NoSpdfdRsn>**

*Presence:* [1..1]

*Definition:* Indicates that there is no reason available or to report.

*Datatype:* "[NoReasonCode](#)" on page 72

CodeName	Name	Definition
NORE	NoReason	No reason to report or no reason available to report.

**6.1.7.2.2.2 Reason <Rsn>**

*Presence:* [1..\*]

*Definition:* Proprietary identification of the reason for the status.

**Reason <Rsn>** contains the following elements (see "[GenericIdentification36](#)" on page 46 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		46
	Issuer <Issr>	[1..1]	Text		46
	SchemeName <SchmeNm>	[0..1]	Text		46

### 6.1.7.2.3 AdditionalReasonInformation <AddtlRsnInf>

*Presence:* [0..1]

*Definition:* Additional information about the processed instruction.

*Datatype:* "[Max210Text](#)" on page 73

### 6.1.7.3 RejectedStatusReason12

*Definition:* Reason for a rejected status.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reason <Rsn>	[1..1]			63
{Or	NoSpecifiedReason <NoSpdfdRsn>	[1..1]	CodeSet		64
Or}	Reason <Rsn>	[1..*]			64
{Or	Code <Cd>	[1..1]	CodeSet		64
Or}	Proprietary <Prtry>	[1..1]	±		64
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		64

#### Constraints

- **AdditionalReasonInformationRule**

The AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

### 6.1.7.3.1 Reason <Rsn>

*Presence:* [1..1]

*Definition:* Reason for the rejected status.

**Reason <Rsn>** contains one of the following **RejectedReason8Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpdfdRsn>	[1..1]	CodeSet		64
Or}	Reason <Rsn>	[1..*]			64
{Or	Code <Cd>	[1..1]	CodeSet		64
Or}	Proprietary <Prtry>	[1..1]	±		64

### 6.1.7.3.1.1 NoSpecifiedReason <NoSpcfdRsn>

*Presence:* [1..1]

*Definition:* Indicates that there is no reason available or to report.

*Datatype:* "NoReasonCode" on page 72

CodeName	Name	Definition
NORE	NoReason	No reason to report or no reason available to report.

### 6.1.7.3.1.2 Reason <Rsn>

*Presence:* [1..\*]

*Definition:* Reason for the rejected status.

**Reason <Rsn>** contains one of the following **RejectedReason7Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		64
Or}	Proprietary <Prtry>	[1..1]	±		64

#### 6.1.7.3.1.2.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Reason for the rejected status expressed as an ISO 20022 code.

*Datatype:* "ExternalRejectedReason1Code" on page 71

#### 6.1.7.3.1.2.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Reason for the rejected status expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "GenericIdentification36" on page 46 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		46
	Issuer <Issr>	[1..1]	Text		46
	SchemeName <SchmeNm>	[0..1]	Text		46

### 6.1.7.3.2 AdditionalReasonInformation <AddtlRsnInf>

*Presence:* [0..1]

*Definition:* Additional information about the processed instruction.

*Datatype:* "Max210Text" on page 73

### 6.1.7.4 PendingProcessingStatusReason1

*Definition:* Reason for a pending status.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reason <Rsn>	[1..1]			65
{Or	NoSpecifiedReason <NoSpdfdRsn>	[1..1]	CodeSet		65
Or}	Reason <Rsn>	[1..*]			65
{Or	Code <Cd>	[1..1]	CodeSet		66
Or}	Proprietary <Prtry>	[1..1]	±		66
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		66

**Constraints**

- **AdditionalReasonInformationRule**

The AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

**6.1.7.4.1 Reason <Rsn>**

*Presence:* [1..1]

*Definition:* Reason for the pending status.

**Reason <Rsn>** contains one of the following **PendingProcessingReason9Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpdfdRsn>	[1..1]	CodeSet		65
Or}	Reason <Rsn>	[1..*]			65
{Or	Code <Cd>	[1..1]	CodeSet		66
Or}	Proprietary <Prtry>	[1..1]	±		66

**6.1.7.4.1.1 NoSpecifiedReason <NoSpdfdRsn>**

*Presence:* [1..1]

*Definition:* Indicates that there is no reason available or to report.

*Datatype:* "NoReasonCode" on page 72

CodeName	Name	Definition
NORE	NoReason	No reason to report or no reason available to report.

**6.1.7.4.1.2 Reason <Rsn>**

*Presence:* [1..\*]

*Definition:* Reason for the pending status.

**Reason <Rsn>** contains one of the following **PendingProcessingReason8Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		66
Or}	Proprietary <Prtry>	[1..1]	±		66

#### 6.1.7.4.1.2.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Reason for the pending status expressed as an ISO 20022 code.

*Datatype:* "ExternalPendingProcessingReason1Code" on page 71

#### 6.1.7.4.1.2.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Reason for the pending status expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "GenericIdentification36" on page 46 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		46
	Issuer <Issr>	[1..1]	Text		46
	SchemeName <SchmeNm>	[0..1]	Text		46

#### 6.1.7.4.2 AdditionalReasonInformation <AddtlRsnInf>

*Presence:* [0..1]

*Definition:* Additional information about the processed instruction.

*Datatype:* "Max210Text" on page 73

#### 6.1.7.5 AcceptedStatusReason7

*Definition:* Reason for an accepted status.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reason <Rsn>	[1..1]			67
{Or	NoSpecifiedReason <NoSpofdRsn>	[1..1]	CodeSet		67
Or}	Reason <Rsn>	[1..1]			67
{Or	Code <Cd>	[1..1]	CodeSet		67
Or}	Proprietary <Prtry>	[1..1]	±		67
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		68

**Constraints**

- **AdditionalReasonInformationRule**

The AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

**6.1.7.5.1 Reason <Rsn>**

*Presence:* [1..1]

*Definition:* Reason for the accepted status.

**Reason <Rsn>** contains one of the following **AcceptedReason8Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpcfdRsn>	[1..1]	CodeSet		67
Or}	Reason <Rsn>	[1..1]			67
{Or	Code <Cd>	[1..1]	CodeSet		67
Or}	Proprietary <Prtry>	[1..1]	±		67

**6.1.7.5.1.1 NoSpecifiedReason <NoSpcfdRsn>**

*Presence:* [1..1]

*Definition:* Indicates that there is no reason available or to report.

*Datatype:* "NoReasonCode" on page 72

CodeName	Name	Definition
NORE	NoReason	No reason to report or no reason available to report.

**6.1.7.5.1.2 Reason <Rsn>**

*Presence:* [1..1]

*Definition:* Reason for the accepted status.

**Reason <Rsn>** contains one of the following **AcceptedReason7Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		67
Or}	Proprietary <Prtry>	[1..1]	±		67

**6.1.7.5.1.2.1 Code <Cd>**

*Presence:* [1..1]

*Definition:* Reason for the accepted status expressed as an ISO 20022 code.

*Datatype:* "ExternalAcceptedReason1Code" on page 70

**6.1.7.5.1.2.2 Proprietary <Prtry>**

*Presence:* [1..1]

*Definition:* Reason for the accepted status expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification36](#)" on page 46 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		46
	Issuer <Issr>	[1..1]	Text		46
	SchemeName <SchmeNm>	[0..1]	Text		46

#### 6.1.7.5.2 AdditionalReasonInformation <AddtlRsnInf>

*Presence:* [0..1]

*Definition:* Additional information about the processed instruction.

*Datatype:* "[Max210Text](#)" on page 73

#### 6.1.7.6 ReceivedStatusReason1

*Definition:* Reason for a received status.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reason <Rsn>	[1..1]	±		68
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		68

#### Constraints

- **AdditionalReasonInformationRule**

The AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

#### 6.1.7.6.1 Reason <Rsn>

*Presence:* [1..1]

*Definition:* Reason for the received status.

**Reason <Rsn>** contains one of the following elements (see "[ReceivedReason1Choice](#)" on page 60 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpofdRsn>	[1..1]	CodeSet		60
Or}	Reason <Rsn>	[1..1]			61
{Or	Code <Cd>	[1..1]	CodeSet		61
Or}	Proprietary <Prtry>	[1..1]	±		61

#### 6.1.7.6.2 AdditionalReasonInformation <AddtlRsnInf>

*Presence:* [0..1]

*Definition:* Additional information about the processed instruction.

*Datatype:* ["Max210Text" on page 73](#)

## 6.2 Message Datatypes

### 6.2.1 CodeSet

#### 6.2.1.1 ActiveCurrencyCode

*Definition:* A code allocated to a currency by a Maintenance Agency under an international identification scheme as described in the latest edition of the international standard ISO 4217 "Codes for the representation of currencies and funds".

*Type:* CodeSet

#### Format

pattern [A-Z]{3,3}

#### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

#### 6.2.1.2 AddressType2Code

*Definition:* Specifies the type of address.

*Type:* CodeSet

CodeName	Name	Definition
ADDR	Postal	Address is the complete postal address.
PBOX	POBox	Address is a postal office (PO) box.
HOME	Residential	Address is the home address.
BIZZ	Business	Address is the business address.
MLTO	MailTo	Address is the address to which mail is sent.
DLVY	DeliveryTo	Address is the address to which delivery is to take place.

#### 6.2.1.3 CountryCode

*Definition:* Code to identify a country, a dependency, or another area of particular geopolitical interest, on the basis of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

*Type:* CodeSet

**Format**

pattern [A-Z]{2,2}

**Constraints**

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

### 6.2.1.4 ExternalAcceptedReason1Code

*Definition:* Specifies the reason for an accepted status.

The list of valid codes is an external code list published separately.

External code sets can be downloaded from [www.iso20022.org](http://www.iso20022.org).

*Type:* CodeSet

**Format**

minLength	1
maxLength	4

### 6.2.1.5 ExternalEffectiveDateParameter1Code

*Definition:* Specifies how the SSI update effective date is to be applied.

The list of valid codes is an external code list published separately. External code sets can be downloaded from [www.iso20022.org](http://www.iso20022.org).

*Type:* CodeSet

**Format**

minLength	1
maxLength	4

### 6.2.1.6 ExternalMarketArea1Code

*Definition:* Specifies the market to which the instruction, operation or report relates.

The list of valid codes is an external code list published separately. External code sets can be downloaded from [www.iso20022.org](http://www.iso20022.org).

*Type:* CodeSet

**Format**

minLength	1
maxLength	4

### 6.2.1.7 ExternalPendingProcessingReason1Code

*Definition:* Specifies the reason for pending status.

The list of valid codes is an external code list published separately. External code sets can be downloaded from [www.iso20022.org](http://www.iso20022.org).

*Type:* CodeSet

#### Format

minLength	1
maxLength	4

### 6.2.1.8 ExternalReceivedReason1Code

*Definition:* Specifies the reason for a received status.

The list of valid codes is an external code list published separately.

External code sets can be downloaded from [www.iso20022.org](http://www.iso20022.org).

*Type:* CodeSet

#### Format

minLength	1
maxLength	4

### 6.2.1.9 ExternalRejectedReason1Code

*Definition:* Specifies the reason for a rejected status

The list of valid codes is an external code list published separately. External code sets can be downloaded from [www.iso20022.org](http://www.iso20022.org).

*Type:* CodeSet

#### Format

minLength	1
maxLength	4

### 6.2.1.10 ExternalSecuritiesPurpose1Code

*Definition:* Specifies the underlying reason for an SSI instruction.

The list of valid codes is an external code list published separately. External code sets can be downloaded from [www.iso20022.org](http://www.iso20022.org).

*Type:* CodeSet

#### Format

minLength	1
-----------	---

maxLength 4

### 6.2.1.11 NoReasonCode

*Definition:* Specifies that there is no reason available.

*Type:* CodeSet

CodeName	Name	Definition
NORE	NoReason	No reason to report or no reason available to report.

## 6.2.2 Date

### 6.2.2.1 ISODate

*Definition:* A particular point in the progression of time in a calendar year expressed in the YYYY-MM-DD format. This representation is defined in "XML Schema Part 2: Datatypes Second Edition - W3C Recommendation 28 October 2004" which is aligned with ISO 8601.

*Type:* Date

## 6.2.3 IdentifierSet

### 6.2.3.1 AnyBICIdentifier

*Definition:* Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362 "Banking - Banking telecommunication messages - Business identifier code (BIC)".

*Type:* IdentifierSet

*Identification scheme:* SWIFT; AnyBICIdentifier

#### Format

pattern [A-Z]{6,6}[A-Z2-9][A-NP-Z0-9]([A-Z0-9]{3,3}){0,1}

#### Constraints

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered by the ISO 9362 Registration Authority in the BIC directory, and consists of eight (8) or eleven (11) contiguous characters.

### 6.2.3.2 BICFIIdentifier

*Definition:* Code allocated to a financial institution by the ISO 9362 Registration Authority as described in ISO 9362 "Banking - Banking telecommunication messages - Business identifier code (BIC)".

*Type:* IdentifierSet

*Identification scheme:* SWIFT; BICIdentifier

**Format**

pattern [A-Z]{6,6}[A-Z2-9][A-NP-Z0-9]([A-Z0-9]{3,3}){0,1}

**Constraints**

- **BICFI**

Valid BICs for financial institutions are registered by the ISO 9362 Registration Authority in the BIC directory, and consist of eight (8) or eleven (11) contiguous characters.

**6.2.3.3 CFIOct2015Identifier**

*Definition:* Classification type of the financial instrument, as per the ISO 10962 Classification of Financial Instrument (CFI) codification, eg, common share with voting rights, fully paid, or registered.

*Type:* IdentifierSet

*Identification scheme:* ANNA; CFIIIdentifier

**Format**

pattern [A-Z]{6,6}

**6.2.4 Text****6.2.4.1 Exact4AlphaNumericText**

*Definition:* Specifies an alphanumeric string with a length of 4 characters.

*Type:* Text

**Format**

pattern [a-zA-Z0-9]{4}

**6.2.4.2 Max16Text**

*Definition:* Specifies a character string with a maximum length of 16 characters.

*Type:* Text

**Format**

minLength	1
maxLength	16

**6.2.4.3 Max210Text**

*Definition:* Specifies a character string with a maximum length of 210 characters.

*Type:* Text

**Format**

minLength	1
maxLength	210

#### 6.2.4.4 Max350Text

*Definition:* Specifies a character string with a maximum length of 350 characters.

*Type:* Text

##### Format

minLength	1
maxLength	350

#### 6.2.4.5 Max35Text

*Definition:* Specifies a character string with a maximum length of 35 characters.

*Type:* Text

##### Format

minLength	1
maxLength	35

#### 6.2.4.6 Max70Text

*Definition:* Specifies a character string with a maximum length of 70characters.

*Type:* Text

##### Format

minLength	1
maxLength	70